

## PALEONTOLOGICAL NOTE

### First bird remains from the Eocene of Algarrobo, central Chile

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**ABSTRACT.** Paleogene records of birds in the Eastern margin of the Pacific Ocean have increased in recent years, being almost exclusively restricted to fossil Sphenisciformes (penguins). New avian remains (Ornithurae, Neornithes) from Middle-to-Late Eocene levels of the Estratos de Algarrobo unit, in Algarrobo, central Chile, are disclosed in the present work. These new finds are significant in representing the first non-spheniscid bird remains of Middle to Late Eocene age, recovered in mid-latitudes of the eastern Pacific and probably belonging to a procelarid. It complements the regional record of Eocene birds, previously known only at high-latitudes such as Seymour Island (Antarctica) and Magallanes (Chile), and low-latitude locations in Peru.

*Keywords:* Birds, Eocene, Biogeography, Algarrobo, Chile.

**RESUMEN. Primeros restos de aves del Eocene de Algarrobo, Chile central.** Los registros de aves paleógenas en el margen oriental del Océano Pacífico se han incrementado en los últimos años, estando casi exclusivamente restringidos a Sphenisciformes fósiles (pingüinos). Nuevos fósiles avianos (Ornithurae, Neornithes) provenientes de niveles del Eocene Medio a Tardío de los Estratos de Algarrobo, en Algarrobo, Chile central, son dados a conocer en el presente trabajo. Estos nuevos hallazgos son significativos en representar los primeros restos de aves no-spheniscidos de edad Eocene Medio a Tardío, recuperadas en latitudes intermedias del Pacífico oriental y probablemente pertenezcan a un procelárido. Este hallazgo complementa el registro regional del grupo durante el Eocene, anteriormente conocido en localidades de altas latitudes como isla Seymour (Antártica) y Magallanes (Chile), y localidades de bajas latitudes en Perú.

*Palabras clave:* Aves, Eocene, Biogeografía, Algarrobo, Chile.

## 1. Introduction

The fossil record of birds from Chile is especially well-represented during the Neogene, with finds recovered from levels of the La Portada Formation (Ferraris and Di Biase, 1978), Bahía Inglesa Formation (Rojo, 1985 emmended by Marquardt *et al.*, 2000) and Coquimbo Formation (Moscoso *et al.*, 1982), in the northern area of the country (e.g., Walsh and Hume, 2001; Emslie and Guerra Correa, 2003; Acosta Hospitaleche *et al.*, 2006; Walsh and Suárez, 2006; Sallaberry *et al.*, 2007; Mayr and Rubilar-Rogers, 2010), being mostly comprised by marine taxa (Chávez, 2007). The fossil record of continental birds is restricted to *Meganhiga chilensis*, Alvarenga (1995) from Miocene levels correlated with Curamallín Formation (Niemeyer and Muñoz, 1983) in Lonquimay, south-central Chile and an isolated tarsometatarsus of *Milvago* sp. from La Portada Formation, Antofagasta (Emslie and Guerra Correa, 2003).

To date, the Paleogene record of birds from the eastern margin of South America is known from localities in the southernmost part of the continent, and low-latitude localities, some of them near the Equator. The southernmost record of fossil birds in South America comes from the Leticia Formation (late Middle Eocene), Tierra del Fuego, Argentina, where an associated pelvic girdle and limb were recovered and assigned to Pansphenisciformes indet. (*sensu* Clarke *et al.*, 2003). From the San Julián Formation (?Late Eocene-?Early Oligocene), southernmost Argentina, came two stem penguin belonging to extinct species: *Arthrodytes andrewsi* (Ameghino, 1901) and *Paraptenodytes robustus* (Ameghino, 1895). Their systematic position has been revised by Acosta Hospitaleche (2005) confirming their stem position. Southern discoveries also include indeterminate penguin remains (Sphenisciformes), represented at least by two morphotypes of different size, also large-sized penguins of the genus *Palaeudyptes*, and a fragmentary tibiotarsus referred to a probable indeterminate Ardeidae, all recovered from three units of middle to late Eocene exposed in Magallanes, southernmost Chile (Sallaberry *et al.*, 2010). The low-latitude records are represented by endemic species such as *Perudyptes devriesi* Clarke *et al.*, 2007, from middle Eocene levels of the Paracas Formation, Peru, and *Icadyptes salasi* Clarke *et al.*, 2007, recovered from Upper Eocene levels of the

Otuma Formation, Peru. A third endemic species, *Inkayacu paracasensis* Clarke *et al.*, 2010, was described from Late Eocene levels of the Yumaque Point, in the Paracas reserve, Peru. More recently closer phylogenetic affinities between Peruvian (*Inkayacu*) and Antarctic Eocene penguins have been suggested, being considered probably congeneric (Jadwiszczak, 2011).

No further Eocene fossil birds are known from the Eastern South Pacific. Here we describe new material from central Chile representing the first non-penguin remains of the Middle to Late Eocene, from intermediate latitude between the Magallanes Region and Perú. Besides the fossil heron from Magallanes, all the Eocene record of birds from the western coast of South America are comprised by penguins (Sphenisciformes).

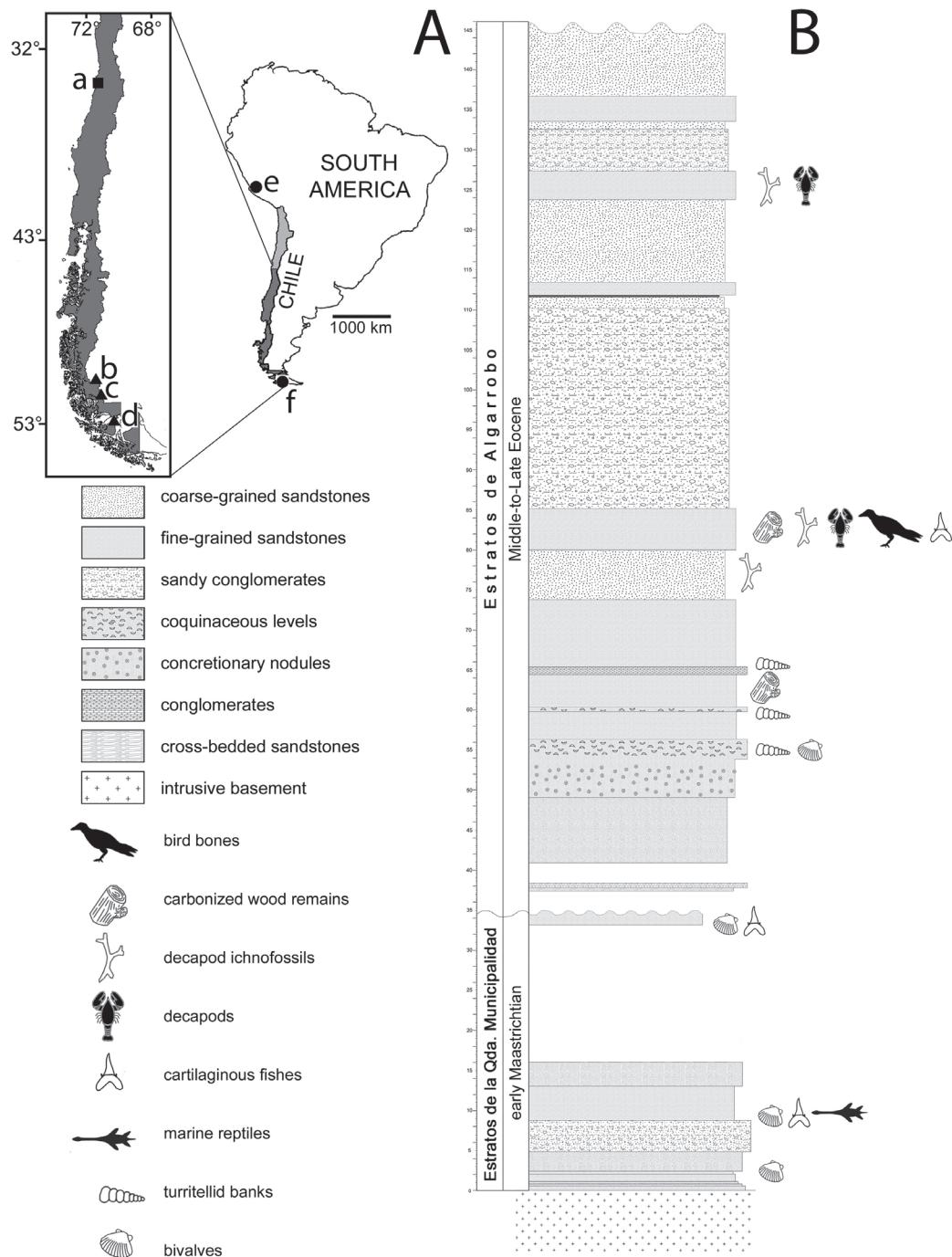
The materials here described are hosted at the Área de Paleontología, Museo Nacional de Historia Natural, Santiago de Chile under the acronym SGO.PV.

## 2. Locality and Geological Setting

The studied specimens were collected at the coastal town of Algarrobo (33°22'S; 71°40'W) in central Chile, placed approximately 120 km W from Santiago (Fig. 1). In this locality, a discrete sequence of sedimentary sequence rocks is exposed along the coastal line, belonging to two different units:

### 2.1. Estratos de la Quebrada Municipalidad (Gana *et al.*, 1996).

Discrete succession of transgressive, marine beds that extends about 40 m along the coastal shore. It is mainly conformed by sandstones, with some frequently glauconitic levels and fine conglomerate lenses (Fig. 1). This unit was assigned to the Maastrichtian based on representative fossils invertebrates such us *Cardium (Bucardium) acuticostatum* (D'Orbigny), *Pacitrigonia hanetiana* (D'Orbigny), *Grossouvreites* sp. and *Pachydiscus* sp. (Tavera, 1980), and a radio-isotopic date obtained from bivalve shells (Suárez and Marquardt, 2003) that indicates 69±01 Ma. The fossil assemblage is also comprised by abundant vertebrates including a few actinopterygian fishes (e.g., aspidorhynchids; Brito and Suárez, 2003), a great diversity of elasmobranchs (e.g., Odontaspidae, Palaeospinacidae, Squatinidae, Squalidae, Echinorhinidae, Schlerorhynchidae, Dasyatidae,



**FIG. 1.** **1.** Schematic map of most relevant Eocene units with fossil bird remains along Chile and South America. **A.** Algarrobo, Valparaíso Region, marked with a square, indicating the locality of provenance of the studied specimens. Estratos de Algarrobo, Middle to Late Eocene; **B.** Sierra Baguales. Río Baguales Formation, Middle to Late Eocene; **C.** Sierra Dorotea. Río Turbio Formation, Middle to Late Eocene; **D.** Punta Arenas. Loreto Formation, Middle to Late Eocene. Other Eocene localities in South America with fossil birds; **E.** Ica, Perú. Otuma and Paracas formations, Middle to Late Eocene; **F.** Tierra del Fuego, Argentina. Leticia Formation, Middle Eocene. **2.** Stratigraphic scheme of the studied section exposed at Algarrobo, based on Tavera (1980) and present field observations, including the hosting level of the studied material.

Callorhynchidae and Chimaeridae; Suárez *et al.*, 2003; Suárez and Cappetta, 2004; Otero and Suárez, 2009) and marine reptiles (*e.g.*, elasmosaurid plesiosaurs, cf. dermochelyid turtles and indeterminate mosasaurs; Otero *et al.*, in 2012).

Fossil invertebrates are also abundant (Philippi, 1887; Brüggen, 1915). Finally, scarce carbonized wood remains can be often observed.

## 2.2. Estratos de Algarrobo (Gana *et al.*, 1996).

This succession is conformed by sandstones of variable grain size and hardness, very fossiliferous, with abundant concretionary nodules in different levels. It is exposed for approximately 150 m along the coast (95 m of thickness) and overlies the Estratos de la Quebrada Municipalidad through an erosive discordance (Fig. 1). The roof of the unit is constrained by a granitic basement through an inferred fault. This unit was assigned to the Middle to Late Eocene based on fossil invertebrates with good chronostratigraphic resolution (Brüggen 1915; Tavera, 1980). Additionally, endemic fossil crustaceans have been described from this unit (Schweitzer *et al.*, 2006).

The fossil specimens here studied were collected from this unit, associated to dental plates of rays (*Myliobatis* sp.), being later prepared at the Museo Nacional de Historia Natural, Santiago, during 2009.

## 3. Systematic Paleontology

Aves Linnaeus, 1758  
 Ornithurae Haeckel, 1866  
 Neornithes Gadow, 1893  
 Neornithes indet.  
 Fig. 2

**Material:** SGO.PV 1024a: Distal fragment of right femur, SGO.PV.1024b: proximal end of right femur. Collected by one of the authors (MES).

**Horizon and age:** Estratos de Algarrobo (Gana *et al.*, 1996), Middle to Late Eocene.

**Description:** The anatomical nomenclature follows Baumel and Witmer (1993). The distal portion (SGO.PV.1024a) preserves both condyles, being eroded in their medial and lateral surfaces. The condylus medialis is smaller than the condylus lateralis and it is projected medially. The condylus lateralis extends distally beyond the condylus medialis. In lateral view the trochlea fibularis have a more

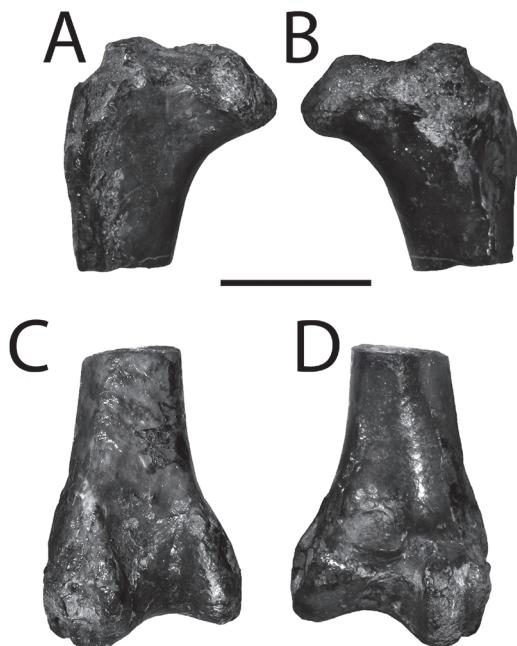


FIG. 2. SGO.PV 1024; Unassociated proximal and distal fragments of right femur A. cranial view of proximal end; B. caudal view of proximal end; C. caudal view of distal end; D. cranial view of distal end. Scale bar = 10 mm.

lateral position than the condylus lateralis. In the caudal view, the impressio ligamentaris cruciati cranialis and the impressio ligamentaris cruciati caudalis are both visible. The fossa poplitea is deep and well defined by a distal ridge. The crista tibiofibularis is conspicuous but poorly preserved. The tuberculum musculi gastrocnemialis lateralis appears in a lateral position. The crista supracondylaris medialis is present but eroded. In caudal view, a broad sulcus patellaris and a deep sulcus intercondylaris are present, but the impression ligamentaris collateralis lateralis and the fovea tendineus of the musculi tibialis cranialis are lost due to erosion. The preserved portion of the diaphysis has a subcircular cross section. The measurements of the distal fragment are: maximum preserved proximal-distal length from the condylus lateralis 18.92 mm, width of condylus lateralis 4.86 mm, crano caudal width of condylus lateralis 10.64 mm, crano caudal width of the sulcus intercondylaris 6.36 mm, width of condylus medialis 4.89 mm, crano caudal width of condylus lateralis 8.85 mm, crano caudal width of the diaphysis 5.49 mm, width of sulcus patellaris 4.58 mm.

The proximal fragment (SGO.PV.1024b) is strongly eroded in the most proximal segment. In cranial aspect, the crista trochanteris is incomplete and the surfaces of the facies articularis antitrochanterica are eroded, but extended craniocaudally. The fossa trochanteris, facies articularis acetabularis, and trochanter femoris cannot be appreciated due to preservation. The impression musculi obturatoriae is present but eroded. Caput femoris is well developed while the fovea ligamentaris capititis is poorly preserved (Fig. 3). The measurements of the proximal fragment are maximum preserved proximal-distal length 18.92 mm, crano caudal width of the diaphysis 5.60 mm, proximal width from the caput femoris to the crista trochanteris 13.62 mm, crano caudal width of the caput femoris 5.77 mm, proximal crano caudal width above facies articularis antitrochanterica 6.78 mm.

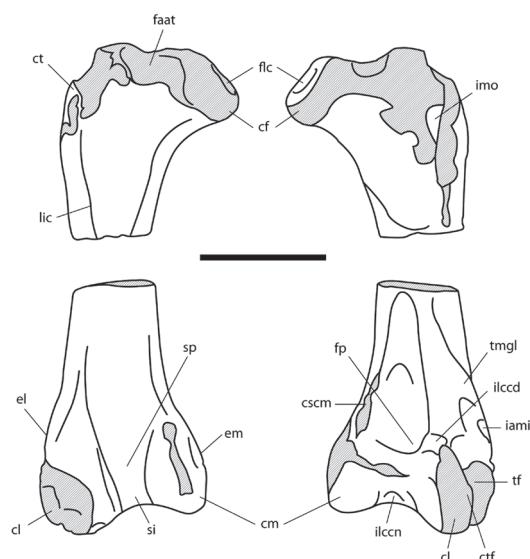


FIG. 3. Anatomical nomenclature according to Baumel and Witmer (1993). **cf:** caput femoris; **cl:** condylus lateralis; **cm:** condylus medialis; **cscm:** crista supracondylaris medialis; **ct:** crista trochanteris; **ctf:** crista tibiofibularis; **el:** epicondylus lateralis; **em:** epicondylus medialis; **faat:** facies articularis antitrochanterica; **flic:** fovea ligamentaris capititis; **fp:** fossa poplitea; **iamp:** impression ansae musculi iliofibularis; **ilccd:** impression ligamentaris cruciati caudalis; **ilccn:** impression ligamentaris cruciati cranialis; **imo:** impression musculi obturatoriae; **lic:** linea intermuscularis cranialis; **si:** sulcus intercondylaris; **sp:** sulcus patellaris; **tf:** trochea fibularis; **tmgl:** tuberculum musculi gastrocnemialis lateralis. Scale bar =10 mm.

#### 4. Discussion

In the distal fragment, the presence of the impressio ansae musculi fibularis (Hutchinson, 2001) as well as conspicuous fossa poplitea (Chiappe, 1996), are diagnostic in the Ornithothoraces. On the other hand, the sulcus intercondylaris with a deep proximal depression (sulcus patellaris) is characteristic of the clade Ornithurae (Hesperornithiformes+Ichtyornithiformes and Neornithes) (Chiappe, 1996).

Despite the fragmentary condition of SGO.PV 1024, the presence of traits such as lateral crista trochanteris confirms its assignment to Ornithurae (Chiappe, 1995). However, the facies articularis antitrochanterica extended in cranio-caudal sense, as observed in the studied specimen, is a probable synapomorphy of Neornithes (Hope, 2002). Although the crista trochanteris is eroded, in SGO.PV 1024 it preserves its proximal portion, allowing us to distinguish it from some birds such as ‘Pelecaniformes’ which lack an elevation of the crista trochanteris (Hope, 2002). The shape of the cross section, the preserved diaphysis in SGO.PV 1024 allows us to discard affinities with Gaviiformes, which have well-defined borders and a laterally compressed femur (Baumel and Witmer, 1993). On the other hand, Sphenisciformes have a femur with a flattened lateral side in proximal portion, without convexity, unlike SGO.PV 1024, discarding that the fossil could represent a penguin.

The lack of pneumatic foramen in craniolateral side of the proximal end of femur is considered a plesiomorphy in several clades within Neornithes (Hutchinson, 2001; Mayr and Clarke, 2003). An apomorphic condition is independently founded in Cracidae, Phasianidae, Anhimidae, Phoenicopteridae, Ciconiidae, Otididae from the ‘land birds clade’ or Node F *sensu* Hackett *et al.* (2008) (Mayr and Clarke, 2003), supporting the exclusion of SGO.PV 1024 from these deriveate clades of Neornithes.

Based on general proportions and morphology, the specimens described here resemble some groups of aquatic birds. Morphologically, SGO.PV 1024a and SGO.PV 1024b have similar femora to Procellariiformes and ‘Ciconiiformes’, these birds comprises the Node H the ‘water birds clade’ *sensu* Hackett *et al.* (2008) a monophyletic unit of aquatic birds recovered in several phylogenetic analysis (Mayr, 2011), but also the similarity of SGO.PV

1024 with Podicipediformes and Charadriiformes do not allow to nested unequivocally within the ‘water birds clade’ *sensu* Hackett *et al.* (2008). We prefer to assign both specimens to a more inclusive taxonomical level due to the absence of unambiguous synapomorphies. Nevertheless, we attempt to associate with high probabilities, SGO.PV 1024 specimen with a marine bird such as a small procelarid. Figure 4 shows that the lack of a strong ridge in the caput femoris is shared with all procelarid birds used for comparison (*Daption capense*, *Fulmarus glacialis*, *Pelecanoides garnotii*, and *Pachyptila belcheri*) as well as the high of the most proximal portion of the bone, supporting the tentative determination as a procelarid bird.

Fossil vertebrates are not frequent in the Eocene outcrops at Algarrobo, and to date, they are only represented by disarticulated and fragmentary materials. The presence of bird remains is an extremely rare finding. It is possible that the two fragments of femur SGO.PV 1024 could represent a unique individual, considering the very low frequency of vertebrate remains in the hosting beds. Moreover, the proximity of the finding of the fragment in the field, plus the morphometric comparison of the distal and proximal ends, particularly the similar cranio-caudal width of the diaphysis, strongly suggest that they would belong to the same individual.

Bird bones in Algarrobo have an special paleobiogeographic relevance. It is the first record of this kind in Middle-Late Eocene levels of central Chile. This locality is important because its intermediate location between the Magallanes Region (Sallaberry *et al.*, 2010), Tierra del Fuego (Clarke *et al.*, 2003), Antarctica (Myrcha *et al.*, 2002; Jadwiszczak, 2006; Tambussi and Acosta Hospitaleche, 2007) and those from Peru during the same time interval (Acosta Hospitaleche and Stucchi, 2005; Clarke *et al.*, 2007; Clarke *et al.*, 2010). Eocene record of birds from southernmost South America and its Pacific coast is comprised almost exclusively by Sphenisciformes (Clarke *et al.*, 2003; Acosta Hospitaleche and Stucchi, 2005; Clarke *et al.*, 2007; Clarke *et al.*, 2010; Sallaberry *et al.*, 2010), while the specimens here studied are assignable to a different order of Neornithes. Because we are here dealing with isolated bones, it is preferred to avoid risky taxonomic assignments, employing a conservative criteria (see Ksepka and Cracraft, 2008); nevertheless, it is important to recall that SGO.PV 1024 would represent an aquatic bird.

Biogeographically, the opening of the Drake Passage and the Tasman Rise diminished the main seaway that crossed from eastern Australia, through western Antarctica and into southern South America (Lawver and Gahagan, 2003). This seaway was the main factor to keep the ecological affinities of marine vertebrate assemblages along different localities of the Weddellian Biogeographic Province (Zinsmeister, 1979). Endemic assemblages seem to be restricted to shallower waters, suggesting that these occurred as a consequence of local biogeographic barriers. The establishment of the Antarctic circumpolar current during the Late Eocene probably as a consequence of the Drake Passage nearly 41 Ma (Scher and Martin, 2006), and the later beginning of the Humboldt Current during the Miocene, provided new and more stable environmental conditions that favoured closer ecologic affinities between marine vertebrates along the Pacific in lower latitudes. This suggests a partial hypothesis of why spheniscid species in Chile and Perú had closer affinities during the Neogene rather than during the Paleogene, being represented by several common species, but retaining the presence of the circumpolar, extant genus *Pygoscelis* in northern Chile as a remarkable difference (Acosta Hospitaleche *et al.*, 2006; Walsh and Suárez, 2006; Sallaberry *et al.*, 2008). This record could probably indicate that a biogeographical barrier existed during the Eocene in the Pacific coast of South America, suggesting that Eocene penguins from Peru have a vicariant origin.

Penguins are often the most frequent birds in avian fossil assemblages when they are present (Cruz, 2007). In the case of the studied specimens, the presence of the fragmentary bird bones is also relevant for the local record, since vertebrate remains in the Estratos de Algarrobo are very scarce, and to date, only represented by dental plates of rays (Suárez and Marquardt, 2003) and isolated fish remains (Tavera, 1980). On the other hand, invertebrate fossils from the same unit are very abundant and diverse, being mainly represented by bivalves, gastropods, echinoderms and nautilids (Brüggen, 1915; Tavera, 1980), less frequently by endemic decapods, ichnofossils referred to *Ophiomorpha* sp. and *Thalassinoides* sp. (Schweitzer *et al.*, 2006), and relatively abundant wood remains, among others. This fossil assemblage suggest sub-tidal, shallow marine conditions for the deposit, while the presence of fragmentary wood remains indicates a possible deltaic environment,

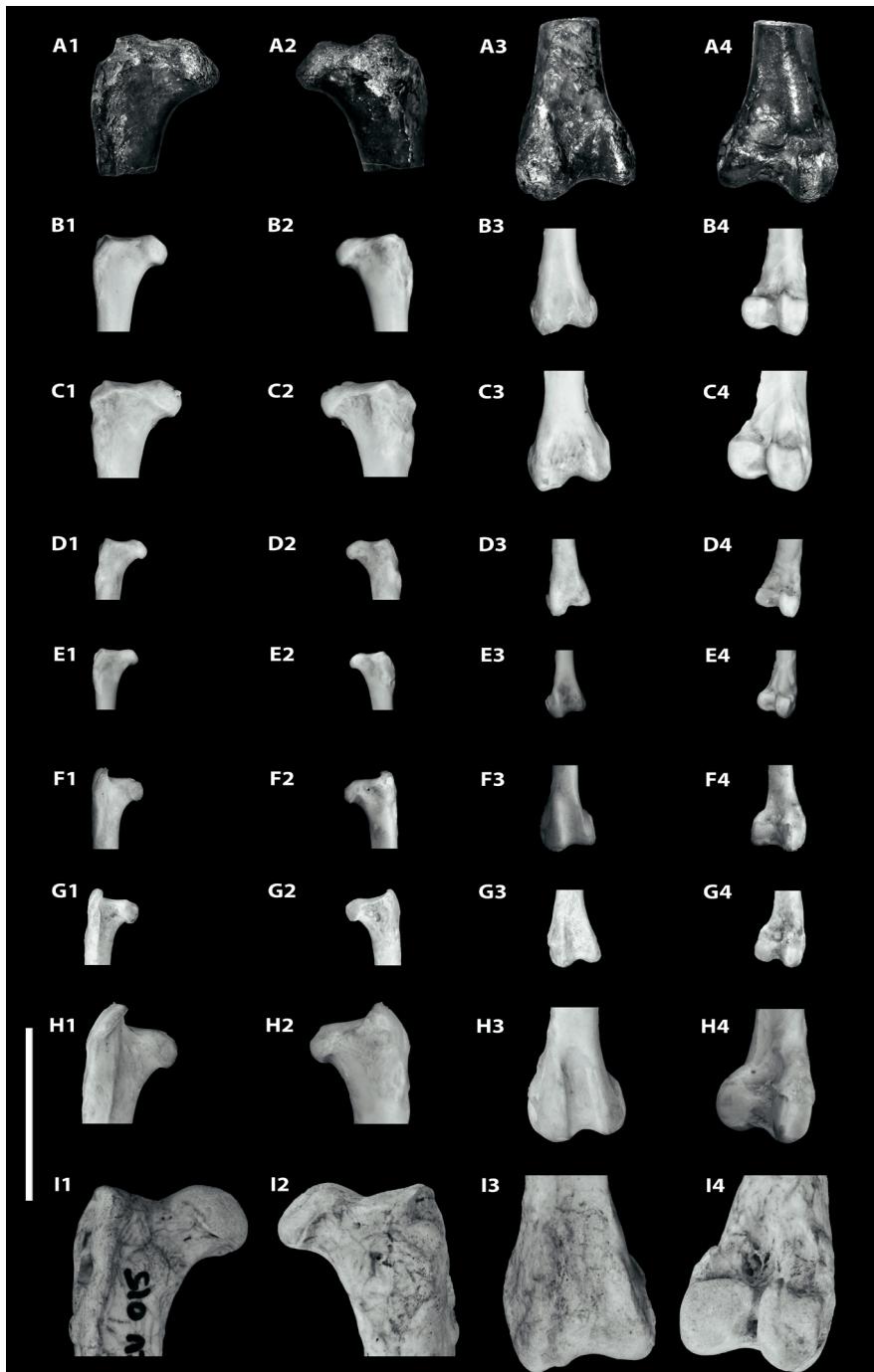


FIG. 4. Comparison between the studied remains and several femora of extant aquatics birds: **A1-A4.** SGO.PV 1024 Neornithes indet; **B1-B4.** Cape Petrel, *Daption capense*; **C1-C4.** Northern Fulmar, *Fulmarus glacialis*; **D1-D4.** Peruvian Diving-petrel, *Pelecanoides garnotti*; **E1-E4.** Slender-billed Prion, *Pachyptila belcheri*; **F1-F4.** Franklin's Gull, *Larus pipixcan*; **G1-G4.** Elegant Tern, *Sterna elegans*; **H1-H4.** Guanay Cormorant, *Phalacrocorax bougainvillii*; **I1-I4.** Humboldt penguin, *Spheniscus humboldti*. Proximal portion of femora in cranial (A1, B1, C1, D1, E1, F1, G1, H1, I1) and caudal view (A2, B2, C2, D2, E2, F2, G2, H2, I2). Distal portion of femora in cranial (A3, B3, C3, D3, E3, F3, G3, H3, I3) and caudal view (A4, B4, C4, D4, E4, F4, G4, H4, I4). Scale bar = 20 mm.

as previously proposed by Schweitzer *et al.* (2006). This can explain the absence of articulated or more complete vertebrate remains, and the mixed occurrence of intertidal fauna with open sea organisms such as nautiloids. Similar environmental conditions including marine fauna and indicators of proximity to the coast are also observed in all Eocene outcrops at Magallanes Region. Bird remains occur together with elasmobranch teeth and abundant invertebrates, mostly bivalves with several common genera present both in Magallanes and Algarrobo (Tavera, 1946). Also, as pointed out by Sallaberry *et al.* (2010), there is a certain level of correlation between Estratos de Algarrobo and the Eocene units in Magallanes and Vicecomodoro Marambio Island (Seymour Island, Antarctica).

The present find verifies the need of more field work at the Estratos de Algarrobo, that could help to establish paleoecologic connections (or differences) between the northernmost Eocene outcrops of the Weddellian Biogeographic Province and low-latitude localities in Peru, helping to understand the possible northern limits of the mentioned Province and the impact of the opening of the Drake Passage in the local faunas.

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